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 PTO-1449  
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UTILITY PATENT APPLICATION TRANSMITTAL <i>(For new nonprovisional applications under 37 CFR 1.53(b))</i>		Attorney Docket No. <b>FA1194 US NA</b> First Named Inventor or Application Identifier <b>Guenter Berschel</b>
<b>"EXPRESS MAIL CERTIFICATE"</b>		
"EXPRESS MAIL" MAILING LABEL NUMBER <u>ER 430765480 US</u>		DATE OF DEPOSIT: <u>August 4, 2003</u>
I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Mail Stop: Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.		
NAME OF PERSON MAILING PAPER OR FEE (TYPE OR PRINT) <u>Jeannette Y. Rayfield</u>		SIGNATURE SIGN <u>Jeannette Y. Rayfield</u>

APPLICATION ELEMENTS <i>See MPEP chapter 600 concerning utility patent application contents.</i>		9. <input checked="" type="checkbox"/> The Title of the Invention: <b>METHOD FOR MULTI-COAT REFINISHING OF SUBSTRATES</b>												
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to Deposit Account No. <u>04-1928</u> . <input checked="" type="checkbox"/> General Authorization to charge any and all fees under 37 CFR 1.16 or 1.17, including petitions for extensions of time, relating to this application. (37 CFR 1.136(a)(3)) <i>(Submit an original, and a duplicate for fee processing)</i>		10. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission a. <input type="checkbox"/> Computer Readable Copy b. <input type="checkbox"/> Paper Copy (identical to computer copy) c. <input type="checkbox"/> Statement verifying identity of above copies d. <input type="checkbox"/> Use the identical computer-readable form filed in Application No. _____, filed _____ as the computer-readable form for the instant application. (37 CFR 1.821(e))												
2. <input type="checkbox"/> A Check in the Amount of \$ _____ is enclosed <input type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees required and credit any overpayment to Deposit Account <u>04-1928</u> .														
3. <input checked="" type="checkbox"/> The total fee is calculated as shown below: <table><tr><td>Basic Filing fee</td><td>\$ 750.00</td></tr><tr><td>Total Claims 11 - 20 = 0 x \$18</td><td>\$ 0.00</td></tr><tr><td>Independent Claims 2 - 3 = 0 x \$84</td><td>\$ 0.00</td></tr><tr><td><input type="checkbox"/> Multiple Dependent Claim present</td><td>\$ 0.00</td></tr><tr><td>TOTAL FILING FEE</td><td>\$ 750.00</td></tr><tr><td><input type="checkbox"/> Reduction by 50% for filing by Small Entity</td><td>\$ _____</td></tr></table> <input type="checkbox"/> Cancel in this application original claims _to _of the prior application before calculating the filing fee. Charge \$ _____ to the above indicated Deposit Account.		Basic Filing fee	\$ 750.00	Total Claims 11 - 20 = 0 x \$18	\$ 0.00	Independent Claims 2 - 3 = 0 x \$84	\$ 0.00	<input type="checkbox"/> Multiple Dependent Claim present	\$ 0.00	TOTAL FILING FEE	\$ 750.00	<input type="checkbox"/> Reduction by 50% for filing by Small Entity	\$ _____	4. <input checked="" type="checkbox"/> ACCOMPANYING APPLICATION PARTS a. <input type="checkbox"/> Information Disclosure Statement (IDS) b. <input checked="" type="checkbox"/> PTO-1449 c. <input checked="" type="checkbox"/> Copies of all IDS Citations 5. <input type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets] _____
Basic Filing fee	\$ 750.00													
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Independent Claims 2 - 3 = 0 x \$84	\$ 0.00													
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TOTAL FILING FEE	\$ 750.00													
<input type="checkbox"/> Reduction by 50% for filing by Small Entity	\$ _____													
6. <input checked="" type="checkbox"/> Declaration and Power of Attorney [Total Pages] <u>4</u> a. <input type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 19a completed)</i> c. <input checked="" type="checkbox"/> Unsigned Declaration <i>[Note Box 6 below]</i> i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).		11. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) 12. <input type="checkbox"/> Preliminary Amendment 13. <input type="checkbox"/> Prior Application is Assigned to: <u>E.I. du Pont de Nemours and Company</u> <i>(for continuation/divisional with Box 20a completed)</i>												
7. <input checked="" type="checkbox"/> Application Data Sheet 37 CFR 1.76		14. <input type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i> 15. <input checked="" type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i> 16. <input type="checkbox"/> Transfer all references cited by Applicants or by the Examiner from the parent Application Serial No. filed_. A PTO-1449 listing the references is enclosed.												
8. <input type="checkbox"/> Incorporation By Reference <i>(useable if Box 6b is checked)</i> The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 6b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.		17. <input type="checkbox"/> Applicant Claims Small Entity Status 18. <input type="checkbox"/> Other:												

20. Priority Information, check appropriate box and supply the requisite information			
a	The accompanying application is a	<input type="checkbox"/> Continuation	<input type="checkbox"/> Divisional
		Of prior application No: filed .	
		Examiner:	Group/Art:

21. CORRESPONDENCE ADDRESS <input checked="" type="checkbox"/> Customer Number: <u>23906</u> Address <u>E.I. du Pont de Nemours and Company</u>		22. RESPECTFULLY SUBMITTED, Signature Name <u>Hilmar L. Fricke</u> Date <u>August 4, 2003</u> Registration No. <u>22,384</u>	
Telephone <u>(302) 984-6058</u>	Fax <u>(302) 658-1192</u>		

23. The Power of Attorney in the Prior Application includes: \_\_\_\_\_

Recognize as Associate Attorney: \_\_\_\_\_  
Attorney \_\_\_\_\_ Registration No. \_\_\_\_\_  
and address future correspondence to same as indicated in Box 21.

The invention was made by an agency of the U. S. Government or under a contract with an agency of the U. S. Government.

No.  
 Yes, the name of the U.S. Government agency and the Government contract number are: \_\_\_\_\_

*(preferred arrangement of specification set forth below)*

- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (*if filed*)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

04772 U.S.P.T.O.  
08/04/03

PTO/SB/17 (11-01)

Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2003

*Patent fees are subject to annual revision.*

Applicant Claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT** (\$ ) 750.00

Complete if Known	
Application Number	Unknown
Filing Date	August 4, 2003
First Named Inventor	Guenther Berschel
Examiner Name	Unknown
Group / Art Unit	Unknown
Attorney Docket No.	FA1194 US NA

METHOD OF PAYMENT (check all that apply)					FEE CALCULATION (continued)																																																																																																																																																																								
<input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None <input checked="" type="checkbox"/> Deposit Account: Deposit Account Number: 04-1928 Deposit Account Name: E. I. du Pont de Nemours and Company					<b>3. ADDITIONAL FEES</b> <table border="1"> <thead> <tr> <th>Large Entity</th> <th>Small Entity</th> <th colspan="2">Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>Fee Code</td><td>Fee (\$)</td><td>Fee Code</td><td>Fee (\$)</td><td></td></tr> <tr><td>1051</td><td>130</td><td>2051</td><td>65</td><td>Surcharge – late filing fee or oath</td></tr> <tr><td>1052</td><td>50</td><td>2052</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet.</td></tr> <tr><td>1053</td><td>130</td><td>1053</td><td>130</td><td>Non-English specification</td></tr> <tr><td>1812</td><td>2,520</td><td>1812</td><td>2,520</td><td>For filing a request for reexamination</td></tr> <tr><td>1804</td><td>920*</td><td>1804</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td></tr> <tr><td>1805</td><td>1,840*</td><td>1805</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td></tr> <tr><td>1251</td><td>110</td><td>2251</td><td>55</td><td>Extension for reply within first month</td></tr> <tr><td>1252</td><td>410</td><td>2252</td><td>205</td><td>Extension for reply within second month</td></tr> <tr><td>1253</td><td>930</td><td>2253</td><td>465</td><td>Extension for reply within third month</td></tr> <tr><td>1254</td><td>1,450</td><td>2254</td><td>725</td><td>Extension for reply within fourth month</td></tr> <tr><td>1255</td><td>1,970</td><td>2255</td><td>985</td><td>Extension for reply within fifth month</td></tr> <tr><td>1401</td><td>320</td><td>2401</td><td>160</td><td>Notice of Appeal</td></tr> <tr><td>1402</td><td>320</td><td>2402</td><td>160</td><td>Filing a brief in support of an appeal</td></tr> <tr><td>1403</td><td>280</td><td>2403</td><td>140</td><td>Request for oral hearing</td></tr> <tr><td>1451</td><td>1,510</td><td>1451</td><td>1,510</td><td>Petition to institute a public use proceeding</td></tr> <tr><td>1452</td><td>110</td><td>2452</td><td>55</td><td>Petition to revive – unavoidable</td></tr> <tr><td>1453</td><td>1,300</td><td>2453</td><td>650</td><td>Petition to revive – unintentional</td></tr> <tr><td>1501</td><td>1,300</td><td>2501</td><td>650</td><td>Utility issue fee (or reissue)</td></tr> <tr><td>1502</td><td>470</td><td>2502</td><td>235</td><td>Design issue fee</td></tr> <tr><td>1503</td><td>630</td><td>2503</td><td>315</td><td>Plant issue fee</td></tr> <tr><td>1460</td><td>130</td><td>1460</td><td>130</td><td>Petitions to the Commissioner</td></tr> <tr><td>1807</td><td>50</td><td>1807</td><td>50</td><td>Processing fee under 37 CFR 1.17(q)</td></tr> <tr><td>1806</td><td>180</td><td>1806</td><td>180</td><td>Submission of Information Disclosure Stmt</td></tr> <tr><td>8021</td><td>40</td><td>8021</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td></tr> <tr><td>1809</td><td>750</td><td>2809</td><td>375</td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td></tr> <tr><td>1810</td><td>750</td><td>2810</td><td>375</td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td></tr> <tr><td>1801</td><td>750</td><td>2801</td><td>375</td><td>Request for Continued Examination (RCE)</td></tr> <tr><td>1802</td><td>900</td><td>1802</td><td>900</td><td>Request for expedited examination of a design application</td></tr> <tr> <td colspan="5">Other fee (specify) _____</td> </tr> <tr> <td colspan="5">**or number previously paid, if greater; 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SUBMITTED BY

Name (Print/Type)	Hilmar L. Fricke	Registration No. Attorney/Agent	22,384	Telephone	(302) 984-6058
Signature	<i>Hilmar L. Fricke</i>				Date August 4, 2003

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038 Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop: Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

## Certificate of Express Mailing

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Alexandria, VA 22313-1450

on August 4, 2003  
Date

Jeannette Rayfield  
Signature

JEANNETTE Y. RAYFIELD

Type or printed name of person signing Certificate

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

### METHOD FOR MULTI-COAT REFINISHING OF SUBSTRATES

Application No.: Unknown

Filing Date: August 4, 2003

First Named Inventor: Guenter Berschel

Group Art Unit: Unknown

Examiner: Unknown

Attorney Docket: FA1194 US NA

Utility Application Cover Sheet

Application – 15 pages

Form PTO 1449 with references

Declaration/Power of Attorney (not executed)

Fee Transmittal

Authorization to charge Deposit Account 04-1928

Postcards